

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

JAMES CONTANT, MARTIN-HAN TRAN,
CARLOS GONZALEZ, UGNIUS MATKUS,
JERRY JACOBSON and, PAUL
VERMILLION, on behalf of themselves and all
others similarly situated,

Case No. 17-cv-03139-LGS

Plaintiffs,

vs.

BANK OF AMERICA CORPORATION;
BANK OF AMERICA, N.A.; MERRILL
LYNCH, PIERCE, FENNER & SMITH INC.;
THE BANK OF TOKYO MITSUBISHI UFJ
LTD.; BARCLAYS BANK PLC; BARCLAYS
CAPITAL INC.; BNP PARIBAS GROUP;
BNP PARIBAS NORTH AMERICA, INC.;
BNP PARIBAS SECURITIES CORP.; BNP
PARIBAS PRIME BROKERAGE, INC.;
CITIGROUP INC.; CITIBANK, N.A.;
CITICORP; CITIGROUP GLOBAL
MARKETS INC.; CREDIT SUISSE AG;
CREDIT SUISSE AG; CREDIT SUISSE
SECURITIES (USA) LLC; DEUTSCHE
BANK AG; DEUTSCHE BANK
SECURITIES INC.; THE GOLDMAN
SACHS GROUP, INC.; GOLDMAN, SACHS
& CO.; HSBC HOLDINGS PLC; HSBC
BANK PLC; HSBC NORTH AMERICA
HOLDINGS, INC.; HSBC BANK USA, N.A.;
HSBC SECURITIES (USA) INC.;
JPMORGAN CHASE & CO.; JPMORGAN
CHASE BANK, N.A.; MORGAN STANLEY;
MORGAN STANLEY & CO., LLC;
MORGAN STANLEY & CO.
INTERNATIONAL PLC; RBC CAPITAL
MARKETS LLC; ROYAL BANK OF
SCOTLAND GROUP PLC; RBS
SECURITIES INC.; SOCIÉTÉ GÉNÉRALE
S.A.; STANDARD CHARTERED BANK;
UBS AG; UBS GROUP AG; and UBS
SECURITIES LLC;

Defendants.

**CORPORATE DISCLOSURE STATEMENT
OF DEFENDANT MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED**

Pursuant to Federal Rule of Civil Procedure 7.1, Defendant Merrill Lynch, Pierce, Fenner & Smith Incorporated makes the following disclosure through its undersigned counsel:

Merrill Lynch, Pierce, Fenner & Smith Incorporated is a wholly-owned indirect subsidiary of Bank of America Corporation. Bank of America Corporation is a publicly held company whose shares are traded on the New York Stock Exchange. Bank of America Corporation has no parent corporation, and no publicly held corporation owns 10% or more of its stock.

Dated: New York, New York
May 8, 2017

SHEARMAN & STERLING LLP

By: /s/ Adam S. Hakki
Adam S. Hakki

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*Attorneys for Defendant Merrill Lynch, Pierce,
Fenner & Smith Incorporated*